



G U I D E L I N E S

Community Development

Mini-Grant Application

Fiscal Year 2021-2022

Step 2

(SPECIAL INSTRUCTIONS SPECIFIC TO COVID-19 REGULATIONS ADDRESSED IN THE GUIDELINES)

INCLUDES APPLICATION FORMS:

- Cover Page
- Application Checklist
- Disclosure Statement
- Project Narrative Outline
- Budget Request Form

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The Imperial County Children and Families First Commission Strategic Plan as well as the Community Development Mini-Grant Guidelines including application forms can be downloaded at www.icprop10.org. These documents may also be requested by email to jcr@co.imperial.ca.us. For further information, visit

www.icprop10.org

A. Introduction to Community Development Mini-Grant FY 2021-2022

First 5 Imperial (Commission) has allocated \$150,000 for the purpose of funding mini-grants over the course of a one-year award process that will apply to the 2021-2022 fiscal year. The Commission will award applicants under a two-step process. Step 1 will require applicants to complete and submit a Statement of Interest to Apply form by the stated deadline. Step 2 will require applicants that have been identified through review of Step 1 to submit the Community Development Mini-Grant FY 2021-2022 Application by the stated deadline. All applicants submitting a Statement of Interest to Apply form will be notified in writing if they were selected to submit a mini-grant application under Step 2. Please note that an invitation to submit a mini-grant application under Step 2 does not guarantee funding. For all contracts, continued funding will depend on compliance with all requirements contained in the contract and funding levels accrued from Prop 10 revenues.

\$25,000.00 is the maximum amount of funding an agency may request for a Mini-Grant Project during the cycle, which the start date will be identified as the day the Agreement for Services (Contract) is ratified/signed by First 5 Imperial and the grantee up through June 30, 2022. The objective of these mini-grants will be to enhance the capacity of organizations to better serve expectant parents, children 0-5 years of age and their families.

Public and non-profit agencies may apply through Step 1 of the Community Development Mini-Grant including but not limited to community-based organizations, neighborhood associations, preschools, collaboratives, faith-based organizations, and civic agencies. Applications will be processed within the two-step process outlined under the timetable enclosed within these guidelines.

First 5 Imperial reserves the right to modify the Timetable or to cancel the Mini-Grant application process at any time. Notification of changes will be posted on the Commission's website. Deadline submittal dates for this Mini-Grant have been established as follows:

<i>Description</i>	<i>Release Date</i>	<i>Submission Deadline</i>
Step 1	February 8, 2021	March 11, 2021
Step 2	April 8, 2021	May 10, 2021

Please review the Community Development Mini-Grant Application Timetable FY 2021-2022 for detailed information.

B. Submission Instructions

Agencies who are invited by the Commission, under Step 2 of this process, to submit a Community Development Mini-Grant for the 2021-2022 fiscal year should adhere to the following submission guidelines:

- a. Deadline to submit the Community Development Mini-Grant FY 2021-2022 application, including all required documentation, is as follows:

Date: **May 10, 2021, 4:00 p.m.**

Submit To: First 5 Imperial
 Attn: First 5 Imperial Mini-Grant
 1240 State Street
 El Centro, CA 92243

- b. Complete the Community Development Mini-Grant Application; this includes the following forms: Application Cover Page; Application Checklist; Disclosure Statement; Project Narrative; Budget Request Form; and all other required documentation. All application documents must be submitted in typewritten format.
- c. Submit – only one (1) original document signed in blue ink. No additional copies of the application are required. A stapled document is preferred over bound format. Elaborate artwork, expensive paper and binding are neither necessary nor desired. Again, the application must include the following forms: Application Cover Page; Application Checklist; Disclosure Statement; Project Narrative; Budget Request Form; and all other required documentation. For agencies submitting an Independent Financial Audit performed by a Certified Public Accountant, please note that only one (1) copy should be attached to the original application. No additional copies of the audit are required.
- d. All prospective applications must be mailed or hand-delivered to the First 5 Imperial office. It is the responsibility of the applicant to ensure that application is received at the First 5 Imperial office by the due date and time. Applications received after the due date, even though they are postmarked on the due date, will not be accepted. Failure to meet the deadline may disqualify applicant from mini-grant funding consideration.
- e. Please **do not** fax or email mini-grant applications, they will not be accepted. Email submittals will not be accepted in lieu of the original application.
- f. Interested Applicants must comply with the content requirements, format, and submittal criteria established under the Community Development Mini-Grant Guidelines FY 2021-2022. Failure to follow the appropriate criteria may disqualify the applicant from applying for mini-grant funding consideration.

- g. The rules governing the application, submission, structure and/or review process for all mini-grant applications received by the Commission are subject to change at any time during this process. Any changes reflected during this cycle will be posted on the Commission's website.

C. Background on First 5 Imperial (Commission)

The youngest children residing in Imperial County and their families have been presented with valuable new opportunities since the development of the local Children and Families First Commission subsequent to the approval of Proposition 10. This Act, authored under the direction of Rob Reiner, was ratified by California voters in 1998. The standard supporting this law entailed that a new tax would be levied on tobacco products sold in the state. Monies generated through this tax are designated to provide services that center on the optimal development of children 0-5 years of age, in addition to tobacco awareness and cessation programs. The Act further requires the creation of a Proposition 10 Commission for each County. The Imperial County Children and Families First Commission was established under the authority of Imperial County Ordinance # 1231 in 1998. A nine-member Commission panel, assembled under the direction of this ordinance, would realize Proposition 10 priorities at the county level.

VISION

All Imperial County children will thrive in supportive, nurturing and loving environments, enter school healthy and ready to learn, and become productive, well-adjusted members of society.

MISSION

Current research in brain development clearly indicates that the emotional, physical and intellectual environment that a child is exposed to in the early years of life has a profound impact on how the brain is organized. The experiences a child has with respect to parents and caregivers significantly influences how a child will function in school and later in life. The California Children and Families Act of 1998 is designed to provide, on a community-by-community basis, all children prenatal through five years of age with a comprehensive, integrated system of early childhood development services. Through the integration of health care, quality childcare, parent education and effective intervention programs for families at-risk, children, their parents and caregivers will be provided with the tools necessary to foster secure, healthy and loving attachments. These attachments will lay the emotional, physical and intellectual foundation for every child to enter school ready to learn and develop the potential to become productive, well-adjusted members of society.

RESULT AREAS

The Commission will work to promote projects that focus in any one of the activities in the following areas:

1. Improved Family Functioning
2. Improved Child Development
3. Improved Child Health

D. Funding Priorities

Potential Community Development Mini-Grant applicants selected by the Commission under Step 2 of the review process may submit proposals for activities that fall within at least one need identified in the Strategic Plan adopted by the Commission and that are consistent with the Statement of Interest to Apply form submitted to the Commission for the 2021-2022 funding cycle. A copy of the Strategic Plan may be requested from the Commission office or may be downloaded from the Commission’s website at www.icprop10.org. Furthermore, activities realized must reflect the Vision, Mission and support one of the three goals established by the Commission. Funding priorities will focus on these three goals, also referred to as “result areas” which are:

Goal 1:	Promote parenting and caregiver services, prenatal and postnatal, to enhance optimal child development and to encourage healthy, stable and economically independent families.
Goal 2:	Improve the development and school readiness of young children from birth through age five.
Goal 3:	Develop multi-disciplinary intervention and treatment services to enhance the medical, emotional, physical and mental well-being of young children.

Examples of activities that may be funded include, though are not limited to the following:

- Staff development and training
- Information dissemination campaigns that focus on children 0 through 5 years
- One-time events/workshops that target families
- Licensing fees
- Family literacy projects

- Educational outreach
- Kindergarten transition enhancement
- Prenatal care workshops
- Materials and supplies to enhance child development
- Marketing and media campaigns
- Caregiver environment enhancement plans
- Family support group development
- Tobacco education and cessation efforts

E. Mini-Grant Funding Eligibility Criteria

Funding eligibility will be established through the criteria listed in this section. Please note that the Commission reserves the right to reevaluate this criteria on a periodic basis, and due to funding considerations and changes in policies, the criteria outlined below is subject to change. Any changes in the eligibility criteria for future mini-grants will be posted on the Commission's website.

- Activities outlined in the application/proposal must benefit expectant parents, children 0-5 years of age, parents that have children 0-5 years of age, and/or their immediate caregivers.
- Community Development Mini-Grants are defined as one-time opportunities and based on a one-year time frame.
- All proposed activities must be realized within Imperial County. Funds obtained for Mini-Grants cannot be used to serve families that reside outside of Imperial County.
- Applicants may be non-profit or public agencies.
- Applicants must submit proposals that clearly focus on one of the three goals/result areas identified in the ICCFFC's Strategic Plan.
- Applicants must address how the proposed services may be modified and supported as a result of precautions necessary to address issues relevant to COVID-19.

Priority will be given to applicants that:

- Describe a project that clearly falls within the scope of the ICCFFC's Strategic Plan.
- Clearly address a need of expectant parents and/or children 0-5 years of age.
- Are based within Imperial County.
- Incorporate the *Principles on Equity* which are outlined in the Strategic Plan.
- Offer direct services to expectant parents and children 0-5 years of age.
- Submit a Statement of Interest to Apply form.
- Outline how the success of the project will be reported to the Commission.
- Incorporate other sources of funding into the proposal.
- Adequately address how the proposed services will address problems associated with COVID-19 and take social distancing guidelines into consideration where applicable.

F. Funding Restrictions

The Commission **will not** provide funding to projects that propose to use Community Development Mini-Grant funds for the following purposes:

- Direct funding for individuals
- Business Ventures
- Fundraising events
- Administrative Costs
- Existing financial obligations (for example, the supplantation of funds already in use for an event)
- Rents/leases
- Insurance premiums
- For the purpose of obtaining a profit
- That do not include adequate narrative for adopting strategies that take into account safety measure relevant to addressing COVID-19, particularly when offering direct services.

G. Reporting

Community Development Mini-Grant agencies awarded funding will be required to report on the specific elements related to the scope-of-work conducted in accordance with the terms outlined in the Agreement for Services (contract) entered into between the applicant and the Commission. Through this information the Commission will gather data on how to continue to provide opportunities in the community, as well as set funding strategies that will assist in serving children 0-5 years of age and their families. This information will require that applicants must participate in a comprehensive, evaluation process.

Reporting requirements and participation in the evaluation process will include, but is not limited to: 1) the submittal of quarterly reports; 2) narrative summary of project activities; 3) activity summary reports; 4) performance evaluation chart; 5) budget reporting; 6) year-end results evaluation survey; 7) performance interviews; 8) fiscal budgetary reviews; 9) utilization of a Commission-approved database system and/or data collection tools; and 10) other Commission developed reporting tools.

H. Contract Requirements Upon Approval of funding

1. The successful applicant is required to sign a standard contract, as modified to fit the specific proposal, after award announcements have been posted and before the Commission disburses any payments for that particular award, regardless of the start date. The Commission reserves the right to waive any contract requirements or requirements of the Community Development Mini-Grant in the event such requirement does not apply to a particular project and no other proposer will be adversely affected.

2. **Ownership Of Documents, Other Materials, and Personal Property:** Upon award and after the contract is signed by the applicant and Commission, Commission shall be the owner of the following items incidental to this award upon production, whether or not completed: all data collected, all documents of any type whatsoever, and any material necessary for the practical use of the data and/or documents from the time of collection and/or production whether or not performance under this award is completed or terminated prior to completion. Contractor shall not release any materials under this section except with the prior written approval of Commission.

Commission intends for materials produced in whole or in part under this award shall not be subject to copyright in the United States or in any other country except as determined at the sole discretion of Commission. Commission shall have the unrestricted authority to publish, disclose, distribute, and use in whole or in part, any reports, data, documents or other materials prepared under this award once the contract is ratified by applicant and Commission.

Upon termination of all services contracted under this award or completion of performance, and upon Commission's written request, Contractor shall return all documents and materials Commission provided to Contractor.

I. Rights of the Commission

The Commission reserves the right to negotiate changes to proposals as a condition of approval, to award amounts less than stated in the proposal, and to negotiate reductions or increases of the award amount. The Commission further reserves the right to change or cancel the mini-grant process at any time based on funding trends, barriers related to the COVID-19 pandemic and if it is deemed to be in the best interest of the Commission to do so. Notification of changes will be posted on the Commission's website.

J. Contact Information

Any questions related to the Community Development Mini-Grant may be directed to First 5 Imperial. Contact information for the process therefore is:

First 5 Imperial
Attn: Julio C. Rodriguez, Executive Director
1240 State Street
El Centro, CA 92243

Phone: (760) 482-2997
Fax: (760) 352-6758
Email: jcr@co.imperial.ca.us
Website: www.icprop10.org

Community Development Mini-Grant Application TIMETABLE FY 2021-2022

Date	Activity
February 8, 2021	Release the Community Development Mini-Grant Application Guidelines FY 2021-2022. Statement of Interest to Apply (Step 1) included in guidelines.
<u>STEP 1 DEADLINE</u> March 11, 2021 4:00 p.m.	Deadline to submit Statement of Interest to Apply for a Mini-Grant. Step 1 of the Mini-Grant application process. Must submit by 4:00 p.m. to: First 5 Imperial Attn: First 5 Imperial Mini-Grant 1240 State Street El Centro, CA 92243
April 8, 2021	Commissioners will review Statements of Interest to Apply at public meeting and will select proposed Projects that will be invited to apply under Step 2 of the Mini-Grant application process.
April 8-16, 2021	Notices will be sent to applicants and invitations to submit Community Development Mini-Grants, Step 2, will be sent to selected agencies.
<u>STEP 2 DEADLINE</u> May 10, 2021 4:00 p.m.	Deadline to submit Community Development Mini-Grant Application. Step 2 of the Mini-Grant application process. Must submit by 4:00 pm to: First 5 Imperial Attn: First 5 Imperial Mini-Grant 1240 State Street El Centro, CA 92243
June 3, 2021	Commissioners will evaluate and select Mini-Grant Projects at a public meeting. Final award of Community Development Mini-Grants to be announced. Contracts will commence July 1, 2021.

COVER PAGE

Agency Name: _____

Project Title: _____

Address: _____ City: _____ State: _____ Zip: _____

Phone: _____ Fax: _____ Email: _____

Fiscal Agency: _____ Federal Tax ID Number: _____

Project Contact Name: _____ Title: _____

Name of Agency's Authorized Representative: _____

Please provide a brief summary of the proposed project:

<p style="text-align: center;"><i>Please Check One</i></p> <p><input type="checkbox"/> Non-Profit Community Based Organization</p> <p><input type="checkbox"/> Public Agency</p> <p><input type="checkbox"/> Other: _____</p>	<p style="text-align: center;"><i>Please Check One</i></p> <p><input type="checkbox"/> Improved Child Health</p> <p><input type="checkbox"/> Improved Child Development</p> <p><input type="checkbox"/> Improved Family Functioning</p>
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Project/Activity Service Area *(Check All that apply)*

<input type="checkbox"/> County-wide	<input type="checkbox"/> Calipatria	<input type="checkbox"/> Holtville	<input type="checkbox"/> Ocotillo	<input type="checkbox"/> Westmorland
<input type="checkbox"/> Brawley	<input type="checkbox"/> El Centro	<input type="checkbox"/> Imperial	<input type="checkbox"/> Salton City	<input type="checkbox"/> Winterhaven
<input type="checkbox"/> Calexico	<input type="checkbox"/> Heber	<input type="checkbox"/> Niland	<input type="checkbox"/> Seeley	

Amount Requested <small>(See Budget Form)</small>	Agency Current Operating Budget	Operating Budget for Prior Year <small>(if agency has been in operation for less than one year, write <i>not applicable</i>)</small>
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Target Population *(Check all that apply)*

<input type="checkbox"/> Children ages 0-5	<input type="checkbox"/> Medical Staff	<input type="checkbox"/> Families with children 0-5
<input type="checkbox"/> Childcare	<input type="checkbox"/> Children 0-5 with special needs	<input type="checkbox"/> Other _____
<input type="checkbox"/> Expectant Parents		

Number of children 0-5 to be served: _____	Number of parents to be served: _____	Number of providers/caregivers to be served: _____
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APPLICATION CHECKLIST

This document should serve as a guide for the submittal of the required documents.*
Check off those items that are being enclosed with the application.

<input type="checkbox"/> Cover Page
<input type="checkbox"/> Application Checklist (complete this checklist)
<input type="checkbox"/> Disclosure Statement, <i>signed in blue ink</i>
<input type="checkbox"/> Project Narrative (7-page maximum, typewritten format, 1 inch spaced on all sides)
<input type="checkbox"/> Budget Request Form
<p>FINANCIAL STATEMENTS (as listed below):</p> <p style="margin-left: 40px;"><input type="checkbox"/> Financial Statements (Independent Financial Audit) reviewed by a Certified Public Accountant (CPA) most recent copy.</p> <p style="text-align: center; font-weight: bold; font-size: 1.2em;">.. OR ..</p> <p style="text-align: center;">If your agency does not have a Financial Audit performed by a Certified Public Accountant, you must submit</p> <p style="text-align: center; font-weight: bold; text-decoration: underline;">Prior Year Financial Statements</p> <p>Non-Profit Agencies:</p> <p style="margin-left: 40px;"><input type="checkbox"/> Statement of Activities (a self-generated list of your past year's revenue and expenses)</p> <p><u>And</u></p> <p style="margin-left: 40px;"><input type="checkbox"/> IRS Form 990</p>
<p>LEGAL DOCUMENT (as listed below)</p> <p><input type="checkbox"/> Non-Profit Organization: Copy of 501(c)(3) IRS Determination Letter, or other documentation that supports your non-profit status.</p>
<input type="checkbox"/> One (1) audit copy only.

* Any additional documents not identified on this checklist may not be considered and/or may be discarded.

DISCLOSURE STATEMENT

On behalf of the (agency) _____

I, (name of authorized representative) _____

hereby state that the funds that are being requested will be used in accordance with the scope-of-work identified in this application, and that funding obtained through this grant will not be used to replace existing revenue sources.

Signature of Authorized Representative

Date

{Must sign in blue ink}

PROJECT NARRATIVE OUTLINE

Project Narrative (3 to maximum 6 pages)

Use the following outline for the development of the narrative that will be used in the application to describe the project that will be realized through the Community Development Mini-Grant Application. Please note that under each section (sections A, B and C) there are general guidelines that must be followed. The type of information necessary is requested under each section, with a suggestion of the length (page number) of narrative you may wish to devote to explaining the elements related to the project. Furthermore, it is suggested that the *Needs Statement/Project Description* be the most important element within this narrative, and therefore a majority of the narrative should be concentrated on this section (section B).

A. Agency Overview: (recommend 1 page)

- Describe the mission of your agency, history, experience serving children 0-5 years of age, their families (including expectant parents) and/or caregivers.
- Describe the setting where services will be provided.
- Describe the target population that your agency will be focusing on through this project.
- Describe any projects that have been realized through your agency that serve or have served the target population.
- Or explain if this is the first time that your agency will serve children 0-5 years of age, their families (including expectant parents) and/or caregivers.

B. Needs Statement/Project Description (recommend 1 to 3 pages)

(Please note that the project narrative will determine your scope of work unless the Commission and the agency agree to redefine the activities listed in the Needs Statement/Project Description).

- Describe the needs affecting the children 0-5 years of age your agency will be addressing.
- How were these needs identified?
- Provide a description of the project you are proposing.
- Describe who will oversee the management of the activities, the funds, etc.
- Outline the goals and objectives that your agency will set for the purpose of adequately answering these needs.
- Describe the activities that will be realized to achieve these goals and objectives. Include timelines, planning efforts, and how success will be measured for the project.

C. Results/Benefits (recommend 1 to 2 pages)

- Describe how the activities realized through this project will benefit the Imperial County.
- Describe how these activities will benefit the following groups: a) children 0-5 years of age; b) parents; c) caregiver. Projects may want to explain how these benefits will work to enhance one (or more) of the result areas identified in the Strategic Plan.
- Explain what the short-term or long-term benefits may be.

D. Narrative Addressing COVID-19 (1 page)

- Describe how the project will be able to address any changes necessary, in order to be able to provide the proposed services with fidelity, as a result of the circumstances required to address issues relevant to the COVID-19 pandemic.
- Explain what new strategies will be taken into consideration, if necessary, and how will these support the success of the project.
- Illustrate any additional resources or materials that will be needed in order to ensure these strategies are effective. Include how the project will work to leverage resources to address this matter.

BUDGET REQUEST FORM INSTRUCTIONS

Project Line Item Budget - Budget Request Form Enclosed

Please use the budget form enclosed to list all of the items that will be proposed through the Community Development Mini-Grant application. Note that it is important to provide a brief explanation with respect to determined costs. The Budget Request Form enclosed can be used, or you may replicate the form for the purpose of formatting for additional narrative.

Instructions for Budget Request Form

- Under each ***Line-Item Category*** column applicable to your project, identify the exact expense that is requested for. For example, if you will purchase 200 children's books, please note this description under the ***Operating Supplies*** category.
- Under the ***Budget Justification Narrative*** column provide a brief explanation of the purpose for this expense. For example, the children's books will be used as giveaways to parents involved in 20 storytime activities or family literacy events.
- Under the ***Total*** column indicate the amount that you are requesting for each category. For example, 200 books at \$3.50 per book will be equal to \$700; therefore, you would write \$700.00 under the ***Total*** column.
- Under the ***In-kind*** column list additional resources used to realize this project. Examples of in-kind support may include staff time, materials donated, cash-match used to realize activities, facilities/space donated for the activity, etc.
- Write only in the categories that apply to the Community Development Mini-Grant Application you are submitting.
- Materials/Supplies to address COVID-19 **only if** they cannot be leveraged through other sources.

BUDGET REQUEST FORM

Agency Name: _____

Amount Requested: _____

Project Title: _____

Line-Item Category (Proposed Expense)	Budget Justification Narrative	Total (Estimated Cost)	In-kind
Salary and Fringe			
Operating Supplies			
Equipment Purchases			
Training			
Travel			
Capital Improvements			
Other Expenses			
TOTAL			